



Bib Data Sheet



# FILE COPY

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

<b>SERIAL NUMBER</b> 09/526,907	<b>FILING DATE</b> 03/16/2000 <b>RULE</b> -	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2761 3622	<b>ATTORNEY DOCKET NO.</b> 99-046	
<b>APPLICANTS</b> Jay S. Walker, Ridgefield, CT ; Daniel E. Tedesco, New Canaan, CT ; Dean P. Alderucci, Ridgefield, CT ; Stephen C. Tulley, Stamford, CT ;					
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CIP OF 09/219,267 12/23/1998					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 05/22/2000</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <i>[Signature]</i> Examiner's Signature Initials		<b>STATE OR COUNTRY</b> CT	<b>SHEETS DRAWING</b> 22	<b>TOTAL CLAIMS</b> 88	<b>INDEPENDENT CLAIMS</b> 14
<b>ADDRESS</b> 22927					
<b>TITLE</b> Systems and methods for providing a subsidy offer through a customer device					
<b>FILING FEE RECEIVED</b> 2772	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

**FILE COPY**

Docket No.: 99-046

*Receipt***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Walker et al.

) Customer No.: 22927

For: SYSTEMS AND METHODS FOR  
PROVIDING A SUBSIDY OFFER  
THROUGH A CUSTOMER DEVICE

) Examiner: Not Yet Assigned

Serial No.: 09/526,907

) Group Art Unit: 2761

Filing Date: March 16, 2000

) Docket No.: 99-046

**RECEIVED**  
JUL 12 2000  
TECH CENTER 2700Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231**TRANSMITTAL LETTER**

Applicants hereby submit the following documents for the above-identified patent application:

1. Request for Corrected Filing Receipt;
2. Copy of original Utility Patent Application Transmittal (Large Entity);
3. Red-lined Copy of Official Filing Receipt; and
4. Postcard.

The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$0.00 to Deposit Account No. 50-0271. Order No. 99-046. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 99-046. A duplicate copy of this authorization is attached for such purpose.

June 2, 2000  
Date

Respectfully submitted,

*Patrick J. Buckley*Patrick J. Buckley  
Attorney for Applicants  
PTO Reg. No. 40,928  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905**Certificate of Mailing**

I hereby certify that this correspondence is being sent via U.S. Postal Mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 Attn.: Office of Initial Patent Examination, Customer Service Center on June 2, 2000.

Sylvia Gonzalez  
Typed Name of Person Making DepositSignature *Sylvia Gonzalez*6/2/00  
Date



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Walker et al. ) Customer No.: 22927

For: SYSTEMS AND METHODS ) Examiner: Not Yet Assigned  
FOR PROVIDING A SUBSIDY )  
OFFER THROUGH A )  
CUSTOMER DEVICE )

Serial No.: 09/526,907 ) Group Art Unit: 2761

Filing Date: March 16, 2000 ) Docket No.: 99-046

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

TECH CENTER 2700

JUL 12 2000

RECEIVED

REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request that the Filing Receipt for the above-identified patent application be corrected as follows:

1. The Continuing Data as Claimed by Applicant be corrected to reflect the following: THIS APPLICATION IS A CIP OF 09/219,267 12/23/1998 and the remainder of section should be deleted.

The requested changes have been indicated in red on the enclosed copy of the official Filing Receipt.

In support of this request, applicants have enclosed a copy of the Utility Patent Application Transmittal (Large Entity) filed with the original patent application, which clearly indicates the continuing data as claimed by Applicant. Please issue a corrected Filing Receipt in due course.

June 2, 2000  
Date

Respectfully submitted,

*Patrick Buckley*  
Patrick J. Buckley  
Attorney for Applicants  
PTO Reg. No. 40,928  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905  
(203) 461-7010 (phone)  
(203) 595-8266 (fax)

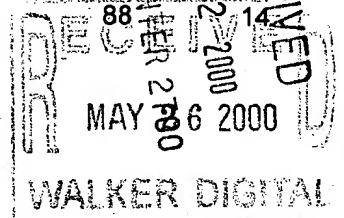
## FILING RECEIPT



\*OC00000005133953\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TECHNICAL CLAIMS	RECEIVED CLAIMS
09/526,907	03/16/2000	2761	2772	99-046	22	88	14

22927  
WALKER DIGITAL  
FIVE HIGH RIDGE PARK  
STAMFORD, CT 06905

Date Mailed: 05/23/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jay S. Walker, Ridgefield, CT ;  
Daniel E. Tedesco, New Canaan, CT ;  
Dean P. Alderucci, Ridgefield, CT ;  
Stephen C. Tulley, Stamford, CT ;

Continuing Data as Claimed by Applicant *CIP*

THIS APPLICATION IS A ~~CON~~ OF 09/219,267 12/23/1998  
WHICH IS A CIP OF 08/943,483 10/03/1997  
WHICH IS A CIP OF 08/923,683 09/04/1997  
WHICH IS A CIP OF 08/889,319 07/08/1997  
WHICH IS A CIP OF 08/707,660 09/04/1996 PAT 5,794,207  
AND A CIP OF 09/100,684 06/19/1998  
WHICH IS A CIP OF 08/982,149 12/01/1997  
AND A CIP OF 08/994,426 12/19/1997  
WHICH IS A CIP OF 08/920,116 08/26/1997  
WHICH IS A CIP OF 08/822,709 03/21/1997

## Foreign Applications

If Required, Foreign Filing License Granted 05/22/2000

## Title

Systems and methods for providing a subsidy offer through a customer device

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
99-046Total Pages in this Submission  
83

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231**COPY**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SYSTEMS AND METHODS FOR PROVIDING A SUBSIDY OFFER THROUGH A CUSTOMER DEVICE

and invented by:

Jay S. WALKER  
Daniel E. TEDESCO  
Dean ALDERUCCI  
Stephen C. TULLEYRECEIVED  
JUL 12 2000  
TECH CENTER 2700

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/219,267

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 50 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
99-046

Total Pages in this Submission  
83

**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*

- a. ☒ Formal                      Number of Sheets                      22 sheets
- b. ☐ Informal                      Number of Sheets                      \_\_\_\_\_

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JUL 12 2000  
TECH CENTER 2700

4. ☒ Oath or Declaration

- a. ☒ Newly executed *(original or copy)*                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Computer Program in Microfiche *(Appendix)*

7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*

- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & document(s))*

9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*

10. ☐ English Translation Document *(if applicable)*

11. ☐ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Acknowledgment postcard

14. ☒ Certificate of Mailing

☐ First Class                      ☒ Express Mail *(Specify Label No.):* EL473081964US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
99-046

Total Pages in this Submission  
83

**Accompanying Application Parts (Continued)**

**COPY**

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ Additional Enclosures (please identify below):

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	88	- 20 =	68	x \$18.00	\$1,224.00
Indep. Claims	14	- 3 =	11	x \$78.00	\$858.00
Multipl Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$2,772.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$2,772.00** as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

*Patrick Buckley*  
Signature

Dated: March 16, 2000

Patrick J. Buckley  
Attorney For Applicants



PATENT TRADEMARK OFFICE

cc: